# Directions in Interpretability

## Ruth Fong

Explainability in Machine Learning, Tübingen, Germany

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Slides and links available at <u>ruthfong.com</u>







## What is interpretability?

## Research focused on explaining **complex AI systems** in a **human-interpretable** way.



## Why interpretability?

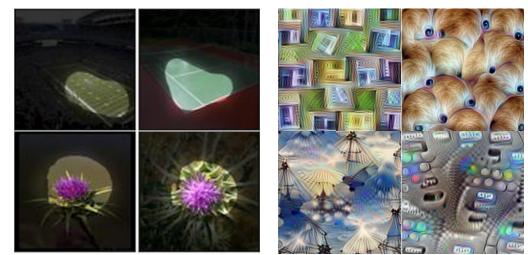




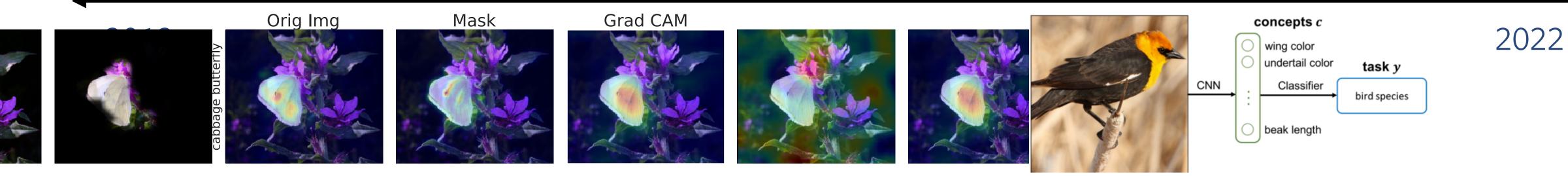




## An incomplete retrospective: the first decade of interpretability



Feature visualization (2013-2018) Activation Max., Feature Inversion, Net Dissect, Feature Vis.



#### Attribution heatmaps (2013-2019) Gradient, Grad-CAM, Occlusion, Perturbations, RISE

[Selvaraju et al., ICCV 2017; Fong\* & Patrick\* et al., ICCV 2019; 4 Bau\* & Zhou\* et al., CVPR 2017; Olah et al., Distill 2017; Koh\*, Nguyen\*, Tang\* et al., ICML 2020]

#### Interpretable-by-design (2020-now) Concept Bottleneck, ProtoPNet, ProtoTree



## An incomplete retrospective: the first decade of interpretability

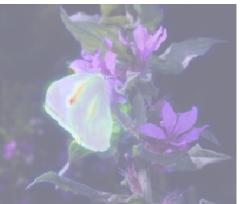


Primarily focused on understanding and approximating **CNNs** 



Orig Img





Exceptions: GANPaint [Bau et al., ICLR 2019] Transformer Circuits [Elhage et al., 2021]

**Attribution heatmaps (2013-2019)** Gradient, Grad-CAM, Occlusion, Perturbations, RISE

> [Selvaraju et al., ICCV 2017; Fong\* & Patrick\* et al., ICCV 2019; 5 Bau\* & Zhou\* et al., CVPR 2017; Olah et al., Distill 2017; Koh\*, Nguyen\*, Tang\* et al., ICML 2020]

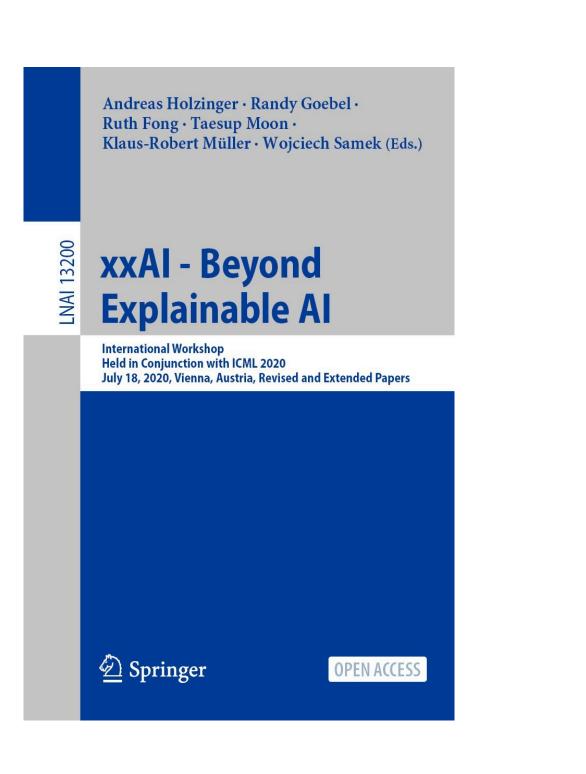
concepts c         wing color         undertail color         task y         CNN         :         CNN	2022
o beak length	

### Interpretable-by-design (2020-now) Concept Bottleneck, ProtoPNet, ProtoTree



## Directions for the next decade of interpretability

- Develop interpretability methods for **diverse domains** 
  - Beyond CNN classifiers: self-supervised learning, generative models, etc.
- 2. Center **humans** throughout the development process
  - In design, co-develop methods with real-world stakeholders.
  - In evaluation, measure human interpretability and utility of methods.
  - In deployment, package interpretability tools for the wider community.



### ICML 2020 workshop on XXAI





## Roadmap

- **Automated** evaluation of interpretability → **human-centered** evaluation Sunnie S. Y. Kim, Nicole Meister, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, ECCV 2022. HIVE: Evaluating the Human Interpretability of Visual Explanations.
- 2. Interpretability by **ML researchers**  $\rightarrow$  **user-oriented** interpretability Sunnie S. Y. Kim, Elizabeth Anne Watkins, Olga Russakovsky, Ruth Fong, Andrés Monroy-Hernández, CHI 2023. "Help Me Help the AI": Understanding How Explainability Can Support Human-AI Interaction.
- Explanations via **heatmaps**  $\rightarrow$  explanations via **concepts** 3. Vikram V. Ramaswamy, Sunnie S. Y. Kim, Ruth Fong, Olga Russakovsky, CVPR 2023. Overlooked Factors in Concept-based Explanations: Dataset Choice, Concept Salience, and Human Capability.
- **Interpretability** in ML + CV  $\rightarrow$  **interdisciplinary** research (interpretability + X) 4. (+ Nicole Meister\* and Dora Zhao\* et al., arXiv 2022. Gender Artifacts in Visual Datasets.) (+ Indu Panigrahi et al., arXiv 2022. Improving Fine-Grain Segmentation via Interpretable Modifications.)
- **Static** visualizations → **interactive** visualizations 5. Ruth Fong, Alexander Mordvintsev, Andrea Vedaldi, Chris Olah, VISxAI 2021. Interactive Similarity Overlays.

(+ Devon Ulrich and Ruth Fong, arXiv 2022. Interactive Visual Feature Search.)



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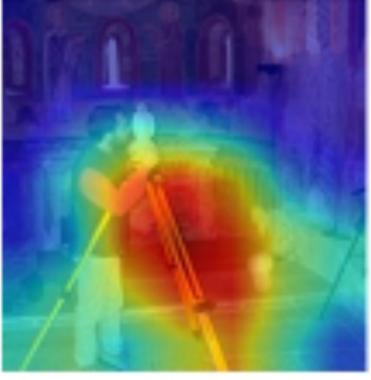
Sunnie S. Y. Kim



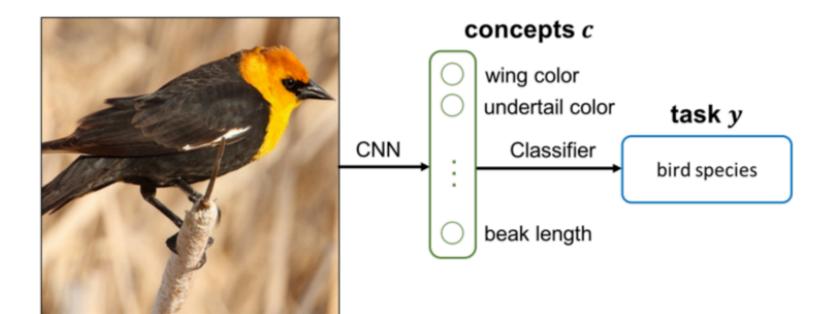


## Explanation form factors: Why did the model predict Y?





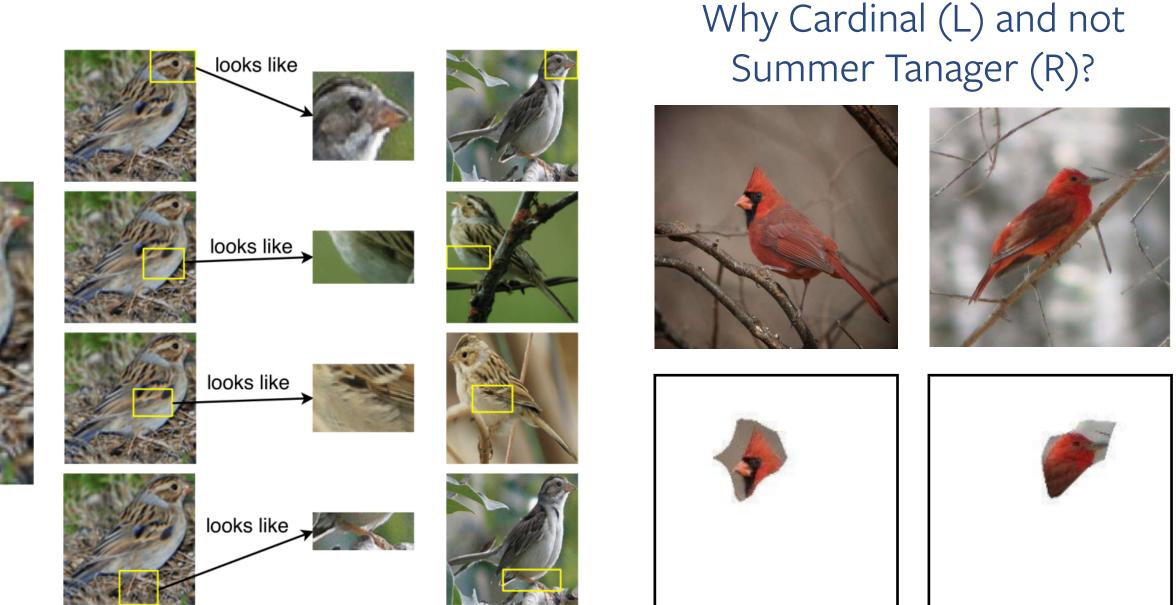
Heatmap explanations (e.g. Grad-CAM)





**Prototype** explanations (e.g. ProtoPNet)

**Concept**-based explanations (e.g. Concept Bottleneck)



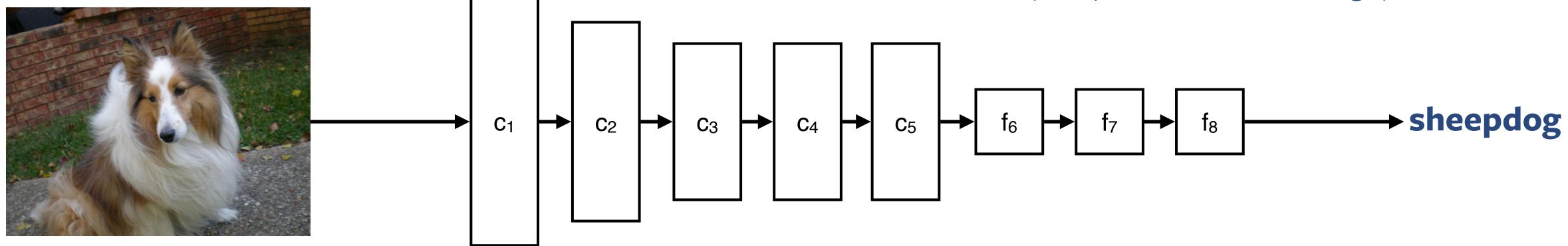
**Counterfactual** explanations (e.g. SCOUT)

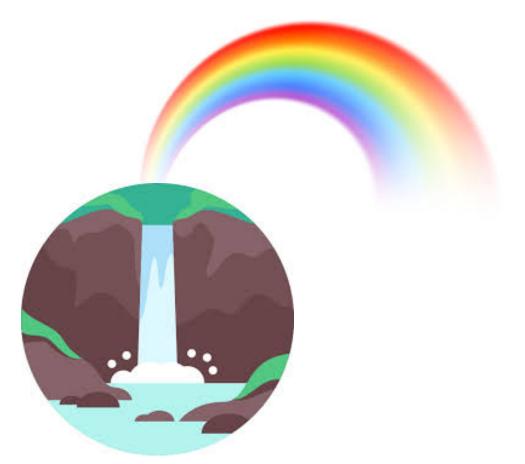
[Selvaraju et al., ICCV 2017; Koh\*, Nguyen\*, Tang\* et al., ICML 2020; Chen\* & Li\* et al., NeurIPS 2019; Wang & Vasconcelos, CVPR 2020]





## Post-hoc explanations

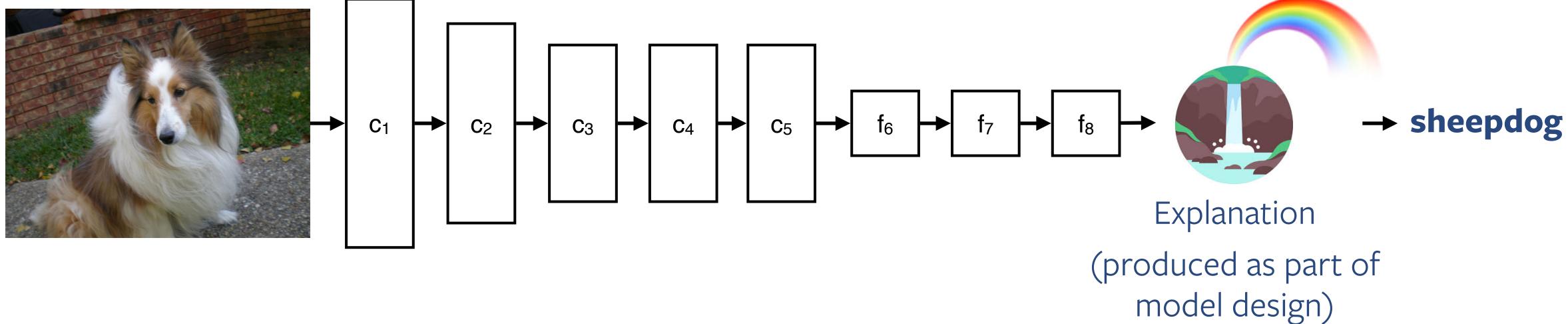




### Explanation (not part of model design)



## Interpretable-by-design models





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## Current metrics focus on heatmap evaluation

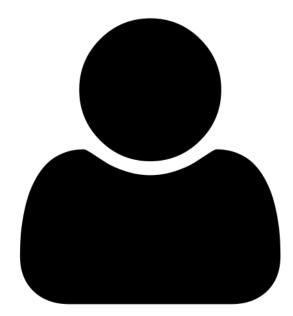
- Weak localization performance [Zhang et al., ECCV 2016]
- Perturbation analysis
  - Deletion game [Samek et al., TNNLS 2017]
  - Retrain with removed features [Hooker et al., NeurIPS 2019]
- Sensitivity to...

• ...

- output neuron [Rebuffi\*, Fong\*, Ji\* et al., CVPR 2020]
- model parameters [Adebayo et al., NeurIPS 2018]



- Sheng & Huang, HCOMP 2020 Guess the incorrectly predicted label
- Nguyen et al., NeurIPS 2021 Is this prediction correct?
- Colin\* & Fel\* et al., arXiv 2021
   What did the model predict (choose one of two)?



Human



## HIVE: Evaluating the Human Interpretability of Visual Explanations

- 1. Within method → Cross-method comparison
- 2. Automated evaluation → Human-centered evaluation
- Intuition-based reasoning -> Falsifiable hypothesis testing 3.

Sunnie S. Y. Kim, Nicole Meister, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, ECCV 2022. HIVE: Evaluating the Human Interpretability of Visual Explanations.

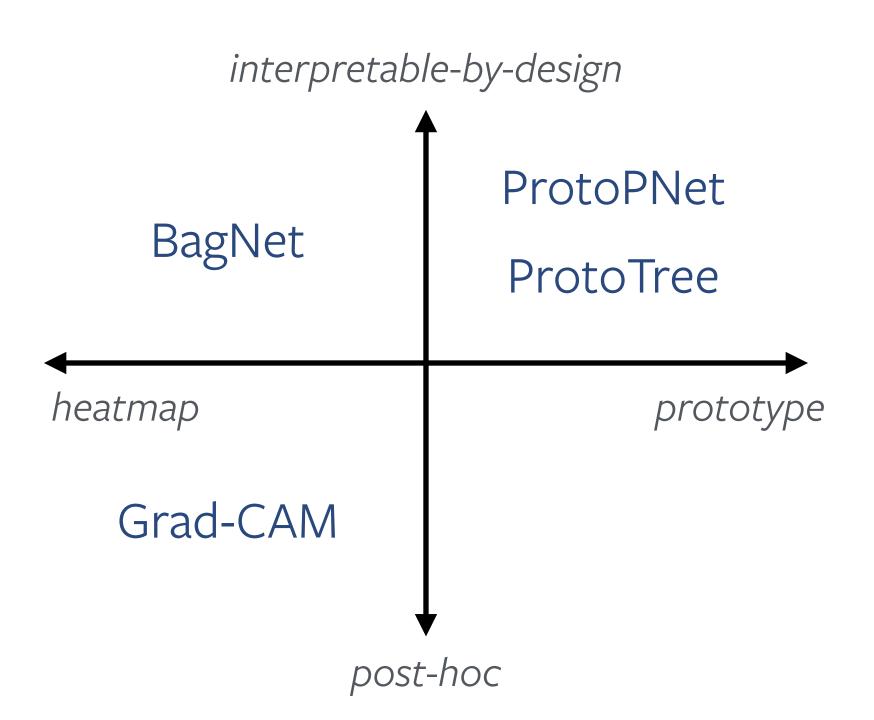


## Our contributions

- Novel human study design for evaluating 4 diverse interpretability methods
  - **First human study** for interpretable-by-design and prototype methods
- Quantify the utility of explanations in distinguishing between correct and incorrect predictions Quantify how users would trade off between interpretability and accuracy
- **Open-source** HIVE studies to encourage reproducible research

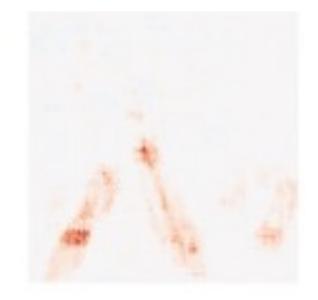
[Sunnie S. Y. Kim et al., ECCV 2022. HIVE.] 14

## 1. Cross-method comparison

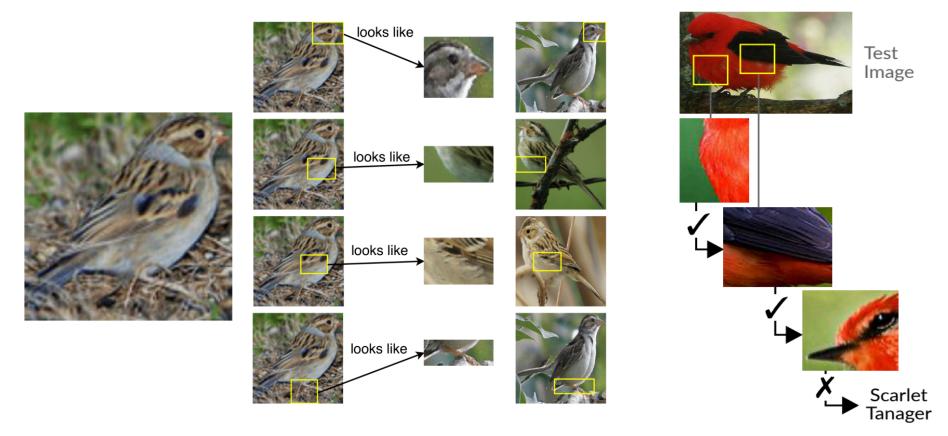


#### Grad-CAM

#### BagNet



ProtoTree



[Selvaraji et al., ICCV 2017; Brendel & Bethge, ICLR 2019; Chen\* & Li\* et al., NeurIPS 2019, Nauta et al., CVPR 2021]



#### ProtoPNet



#### **Agreement task**

How confident are you in the model's prediction?

Class A, because



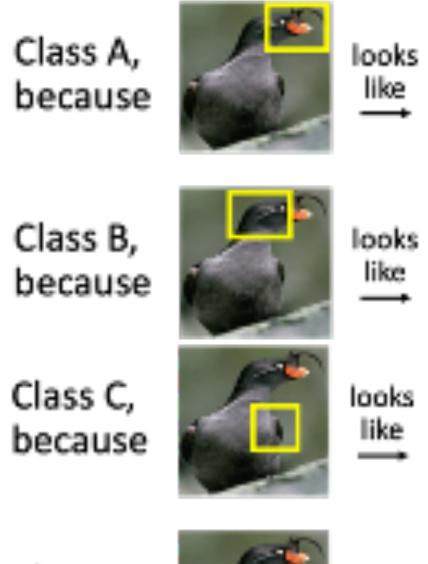
like

looks

Experimental set-up: AMT studies with N=50 participants each

### **Distinction task**

### Which class do you think is correct?

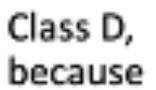


looks like

looks like

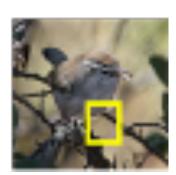








looks



[Sunnie S. Y. Kim et al., ECCV 2022. HIVE.; Chen\* & Li\* et al., NeurIPS 2019] <sup>16</sup>



#### **Agreement task**

How confident are you in the model's prediction?

Finding #1: Prototype similarities often do not **align** with human notions of similarity.

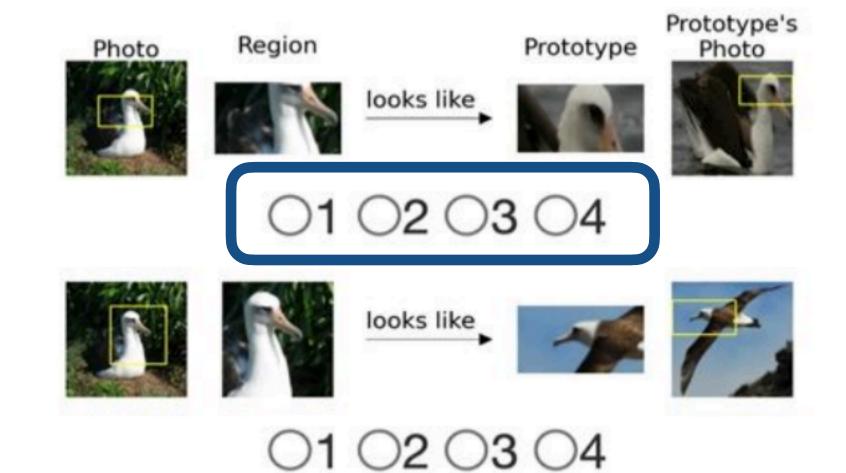
#### ProtoPNet and ProtoTree only

### Task: Rate the similarity of each row's prototype-region pair on a scale of 1-4.

(1: Not Similar, 2: Somewhat Not Similar, 3: Somewhat Similar, 4: Similar)



Shown below is the model's explanation for its prediction (all prototypes and their source photos are from Species 2).



Q. What do you think about the model's prediction?

- Fairly confident that prediction is *correct*
- O Somewhat confident that prediction is correct
- O Somewhat confident that prediction is incorrect
- Fairly confident that prediction is incorrect

[Sunnie S. Y. Kim et al., ECCV 2022. HIVE.; Chen\* & Li\* et al., NeurIPS 2019] 17





#### **Agreement task**

How confident are you in the model's prediction?

Finding #1: Prototype similarities often do not align with human notions of similarity.

Finding #2: Agreement task reveals confirmation bias.

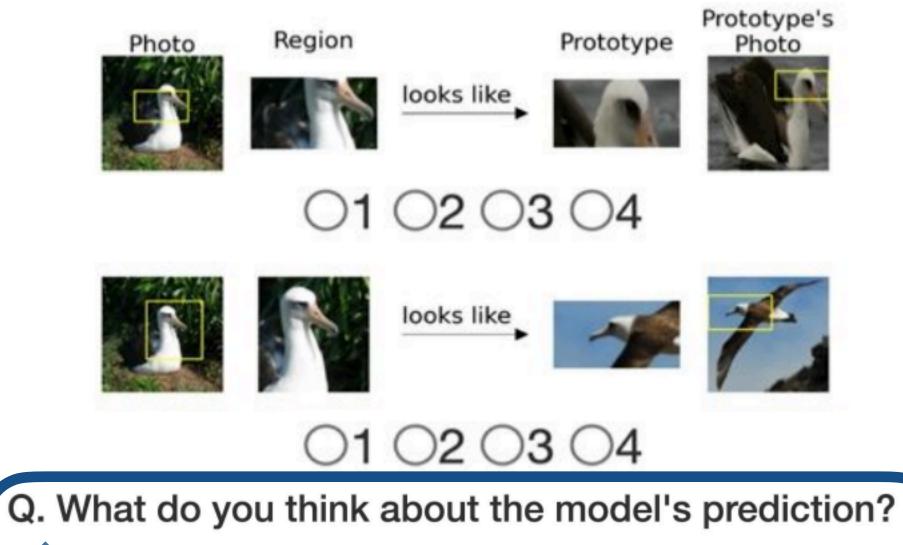
More than 50% were fairly or somewhat confident that a prediction is correct (even for incorrect predictions).

# Task: Rate the similarity of each row's prototype-region pair on a scale of 1-4.

(1: Not Similar, 2: Somewhat Not Similar, 3: Somewhat Similar, 4: Similar)



Shown below is the model's explanation for its prediction (all prototypes and their source photos are from **Species 2**).



Search Fairly confident that prediction is *correct* 

Somewhat confident that prediction is *correct* 

Somewhat confident that prediction is incorrect

Fairly confident that prediction is incorrect

[Sunnie S. Y. Kim et al., ECCV 2022. HIVE.; Chen\* & Li\* et al., NeurIPS 2019] 18



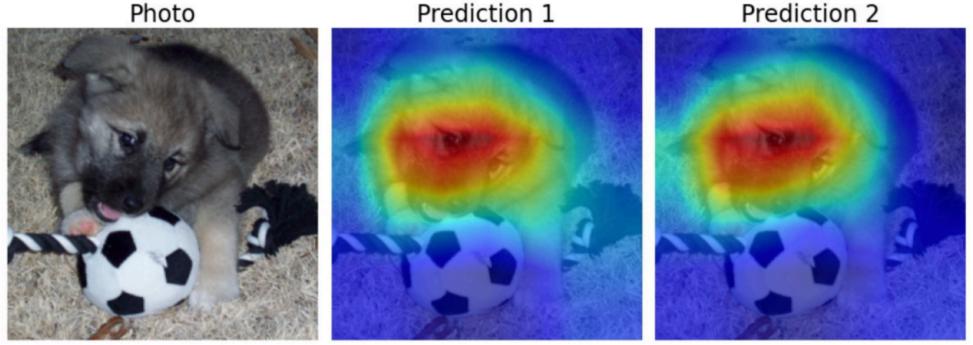
### **Distinction task**

Which class do you think is correct?

Finding #3: Participants struggle to identify the **correct class**, esp. for incorrect predictions.

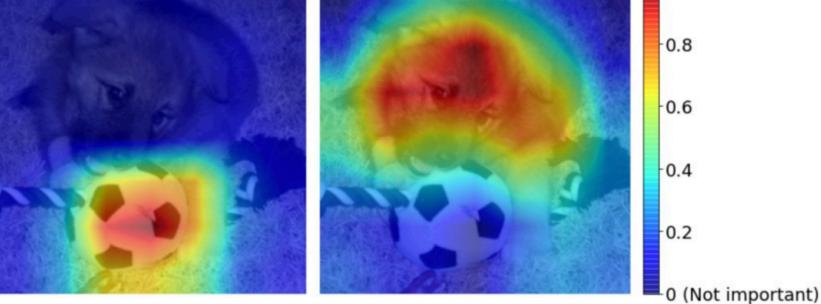
For incorrect predictions, correctly answered around 25% of the time (**random guessing**).

**Goal:** Interpretability should help humans identify and explain model errors.



Prediction 3

Prediction 4 1.0 (Important)



Q. Which class do you think is correct?  $\bigcirc 1 \bigcirc 2 \bigcirc 3 \bigcirc 4$ 

#### Q. How confident are you in your answer?

- Not confident at all
- ◯ Slightly confident
- Somewhat confident
- Fairly confident
- Completely confident

[Sunnie S. Y. Kim et al., ECCV 2022. HIVE.; Selvaraju et al., ICCV 2017] 19



## 3. Falsifiable hypothesis testing

Finding #1: Prototype similarities often do not align with human notions of similarity.

> Finding #2: Agreement task reveals confirmation bias.

**Finding #3:** Participants struggle to identify the correct class, esp. for incorrect predictions.

[Sunnie S. Y. Kim et al., ECCV 2022. HIVE.] <sup>20</sup>



## 3. Falsifiable hypothesis testing

Finding #1: Prototype similarities often do not **align** with human notions of similarity.

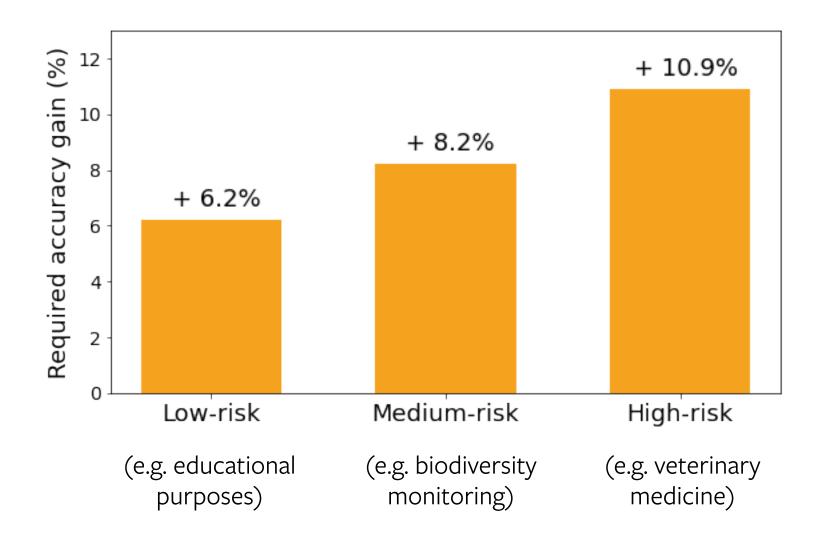
> **Finding #2:** Agreement task reveals confirmation bias.

**Finding #3:** Participants struggle to identify the **correct class**, esp. for incorrect predictions.

Finding #4: Participants prefer interpretability over accuracy, esp. in high-risk settings.

### Interpretability-accuracy tradeoff

Q: What is the minimum accuracy of a baseline model that would convince you to use it over a model with explanations?



[Sunnie S. Y. Kim et al., ECCV 2022. HIVE.] <sup>21</sup>

## Challenges for human evaluation

- Skill cost: web development skills
- Financial cost: budget for AMT experiments
- Time cost: human study design and iteration (e.g. task feasibility, IRB approval, quality control)

**Takeaway:** As a research community, invest in and reward human evaluation studies (like dataset development).



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Sunnie S. Y. Kim





## Understanding real AI end-users' XAI needs, uses, and perceptions

### Who is studied



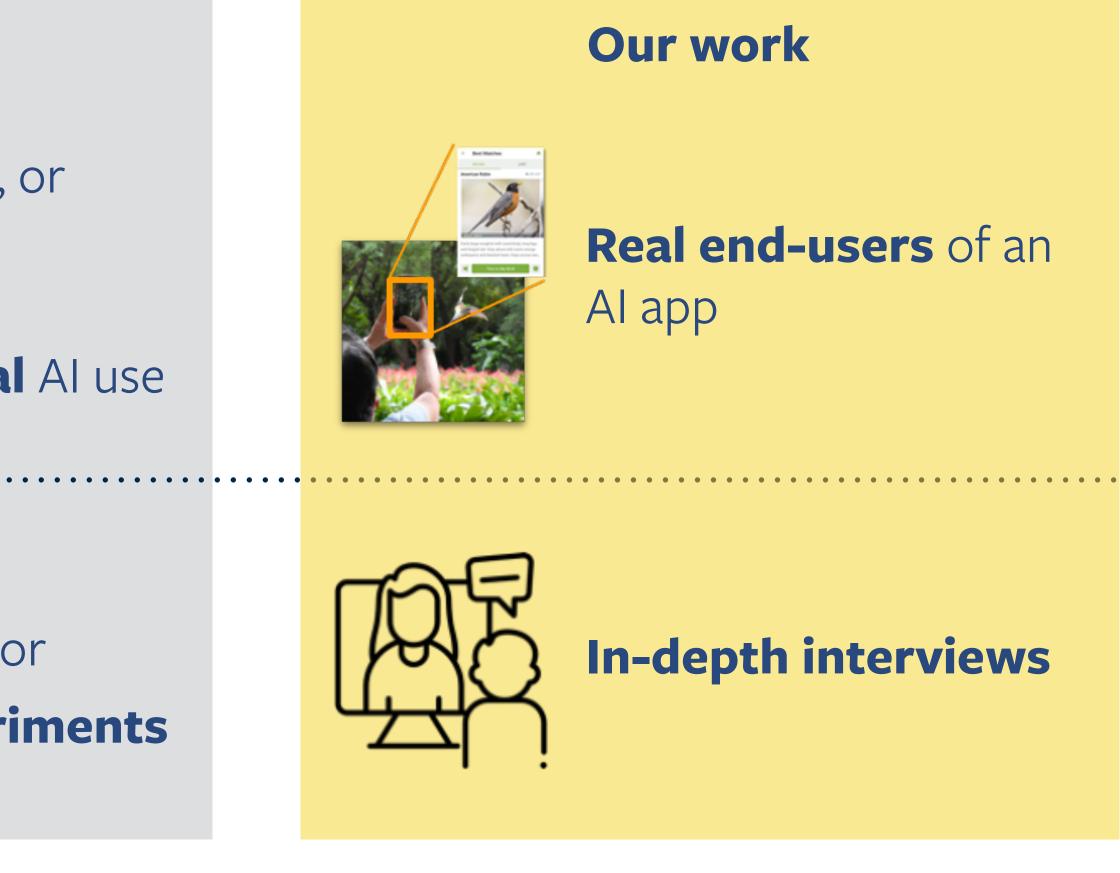
### **Prior work**

- No humans, or
- MTurkers considering hypothetical Al use

### How it's studied



- Automated evaluation, or
- Short experiments



[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>24</sup>



## Understanding real AI end-users' XAI needs, uses, and perceptions

#### **Research questions**

- 1. What are end users' XAI **needs** in real-world AI applications?
- 2. How do end-users **intend to use** XAI explanations?
- 3. How are existing XAI approaches **perceived** by end-users?

#### **Ideal research setting**

- 1. Real-world AI use by end-users with a diverse domain and AI knowledge base
- 2. Domain with significant AI and XAI research



#### **Our work**

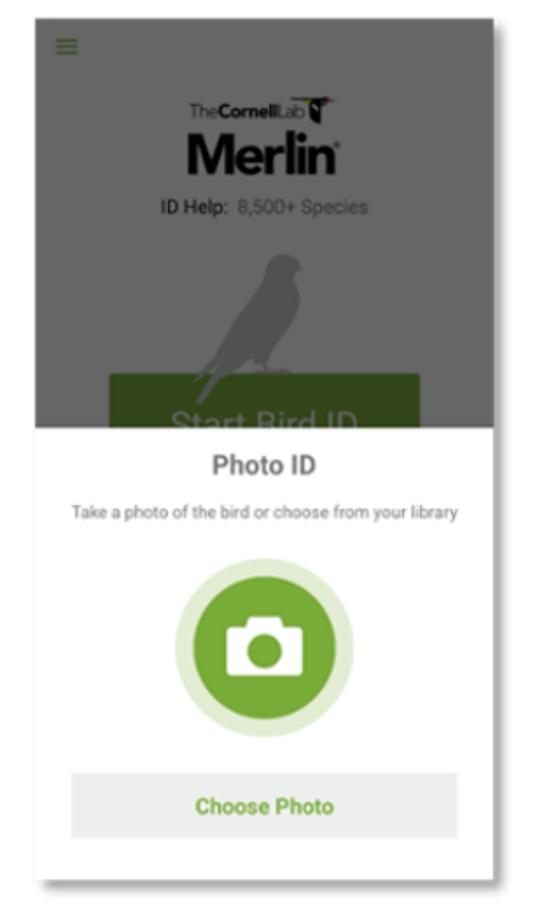
Real end-users of an Al app

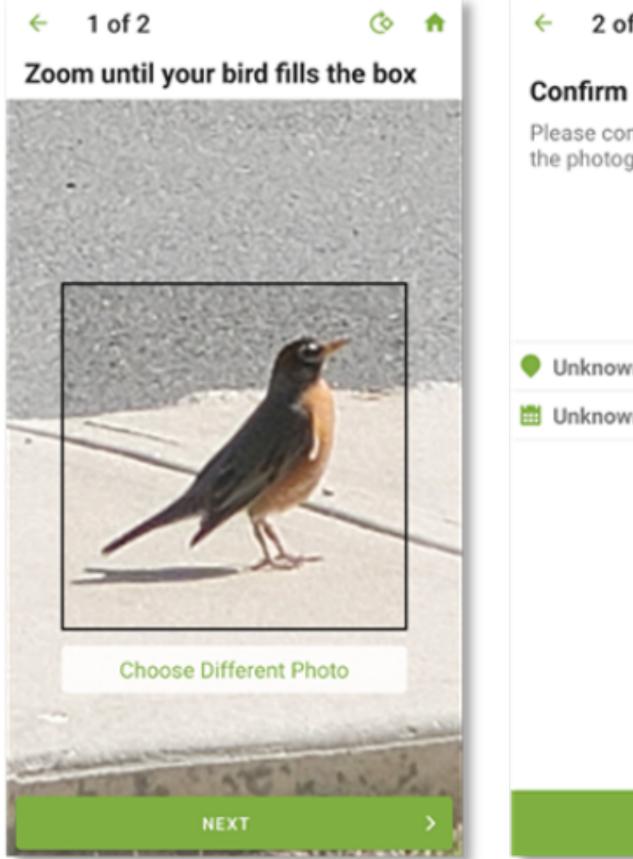


#### **In-depth interviews**

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>25</sup>

## Merlin Photo ID





#### 2 of 2

### Confirm location and date

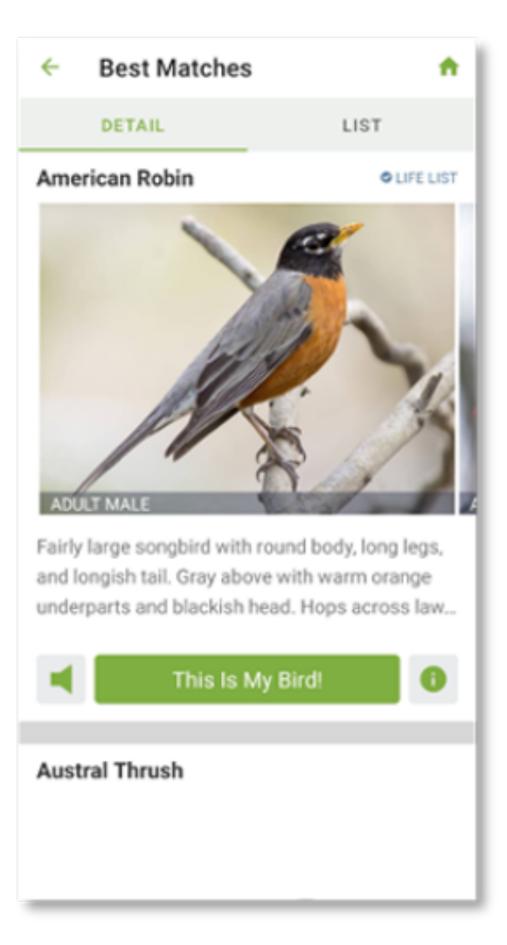
Please confirm where and when you took the photograph.

**f** 



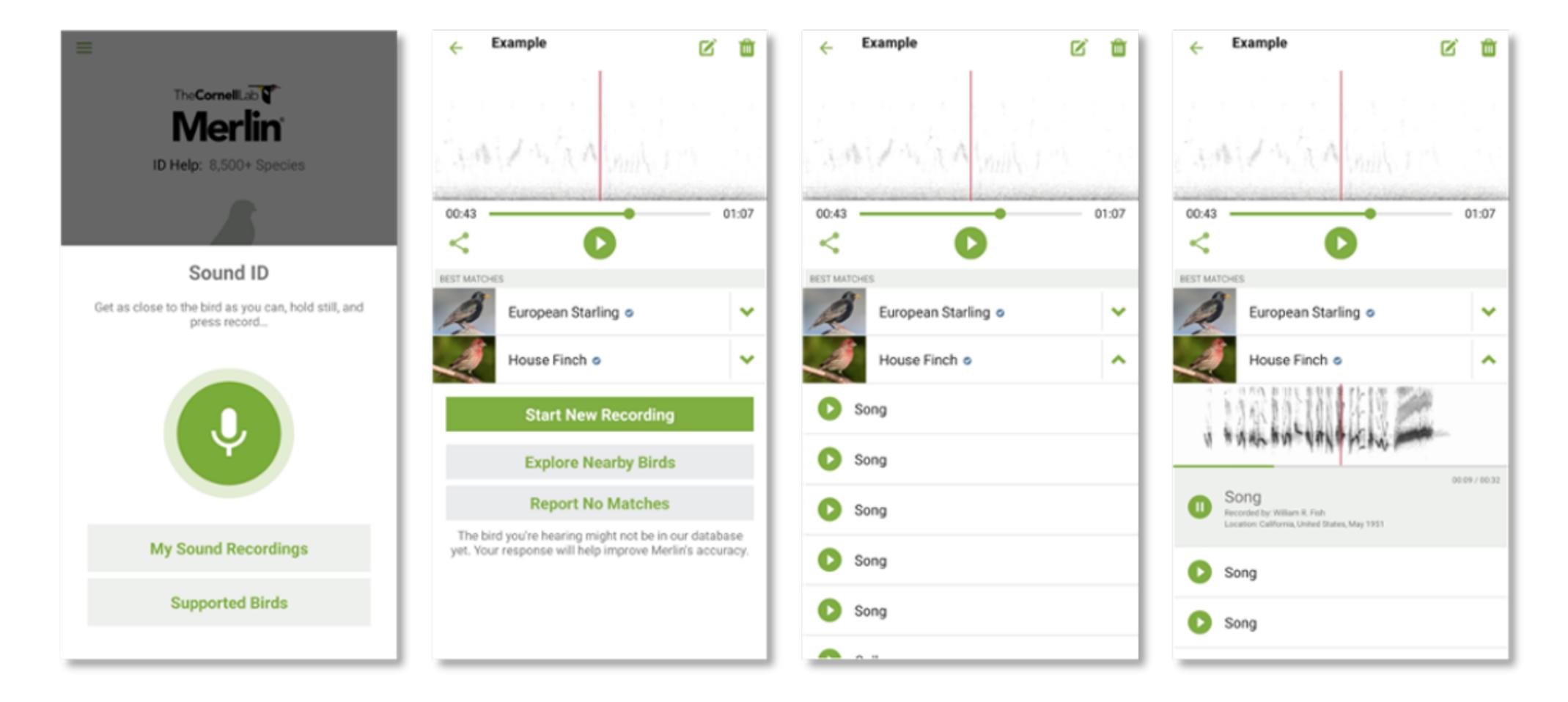
•	Unknown Location	Edit
i	Unknown Date	Edit
	I Don't Know	

IDENTIFY





## Merlin Sound ID V





## Methods

### **1. Recruited participants**

	Low-Al	Medium-Al	High-Al
Low-domain	P7, P12, P16	P8, P14	P11, P13
Medium-domain	P2, P20	P1, P4, P10	P6
High-domain	P5, P17	P3, P9, P15	P18, P19

#### 2. Conducted interviews



[Data-know] Please select all questions you know the answer to

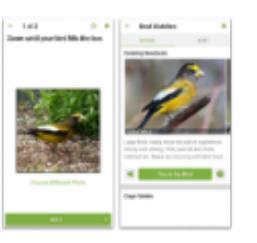
- What data was the app trained on?
- Who collected the data?
- How was the data collected?
- Who provided the data labels (e.g., who annotated what bird appears in a given photo or audio recording)?
- What is the size of the data (e.g., how many photos and audio recordings were used to develop the app)?

### 3. Transcribed and analyzed interviews

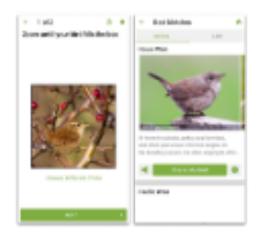




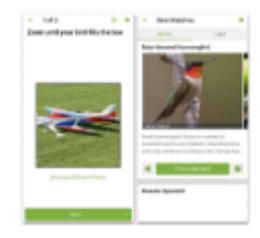
Example 1: Evening Grosbeak correctly identified

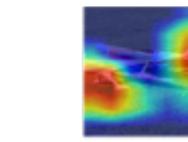


Example 2: Marsh Wren misidentified as House Wren



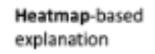
Example 3: Airplane misidentified as Ruby-throated Hummingbird

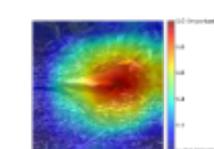


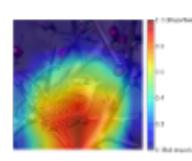


[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>28</sup>

Identification by Merlin Photo ID







## System details: Wanted by only AI experts and domain enthusiasts



**High-AI background** 



"Would email the app developers and play with data/model myself"

"Curious but wouldn't go out of my way" "Don't want to ruin the mystique"



Low-Al background



### **Low-AI background** + High-domain interest

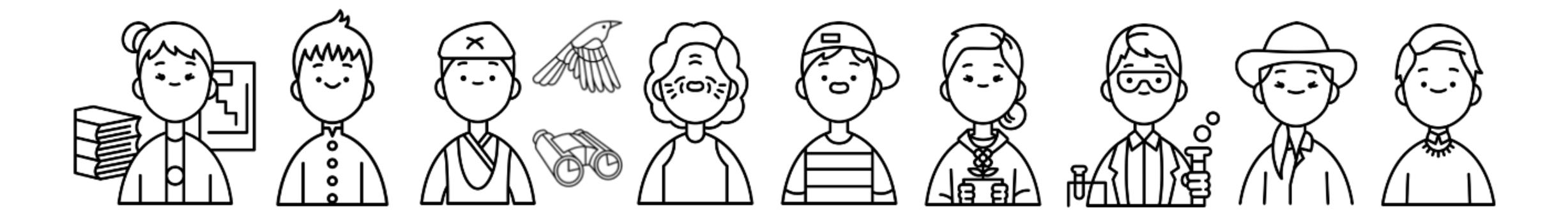




"Want to know how the AI distinguishes similar birds"

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>29</sup>

## Practically useful information: Wanted by everyone



"Want practically useful information that can improve collaboration with AI" e.g. Al's capabilities and limitations, confidence, and detailed outputs

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>30</sup>

## Old and new uses of explanations

- **Understand** the Al's outputs 1.
- **Calibrate trust** in the AI
- **Learn** from the AI to perform the task better on their own 3.
- **Change behavior** to help the AI perform better 4.
- 5. Give feedback to developers to improve the AI

### **Do current XAI approaches satisfy** end-users' needs and use goals?



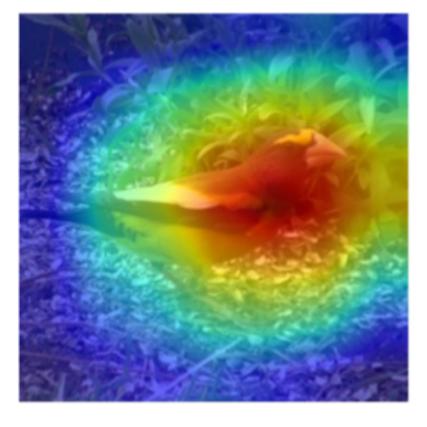


[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>31</sup>

## Perceptions of different explanation form factors



### Examples





0.9 similar



0.6 similar





#### Prototypes

0.7 similar



0.6 similar



0.9 similar



Score for Evening Grosbeak = 1.7

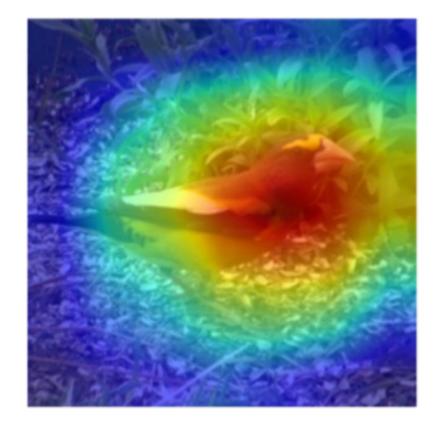
- = -1.2 long beak
  - + 1.1 yellow beak
  - + 0.8 black feathers
  - -0.7 white body
  - + 0.5 yellow body
  - + 0.1 round body

. . .

Concepts

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>32</sup>

## Heatmap-based explanations



Heatmaps

• Intuitive, pleasing • Helpful for spotting Al's mistakes

• Unintuitive, confusing

- Uninformative, too coarse
- Doesn't explain why certain parts are important
- Doesn't give actionable feedback

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>33</sup>



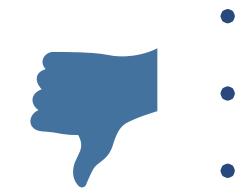
## Example-based explanations





#### Examples



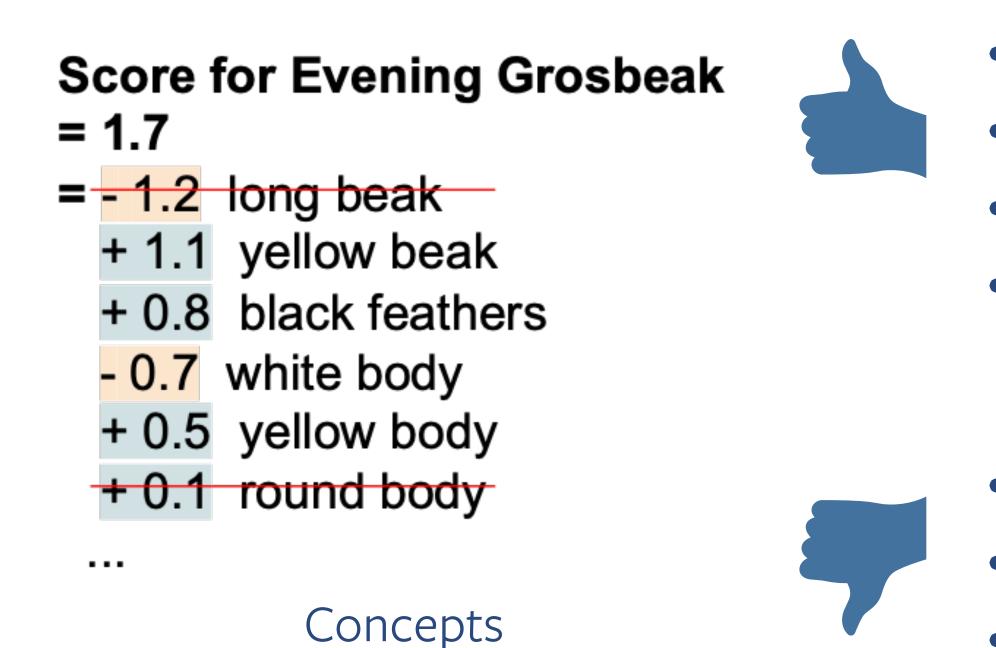


Intuitive, pleasing
Helpful for verifying Al's outputs
Allows end-users' moderation

Uninformative, impression-based
Doesn't add much to current examples in app
Doesn't give actionable feedback

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>34</sup>

## Concept-based explanations



### Parts-based form

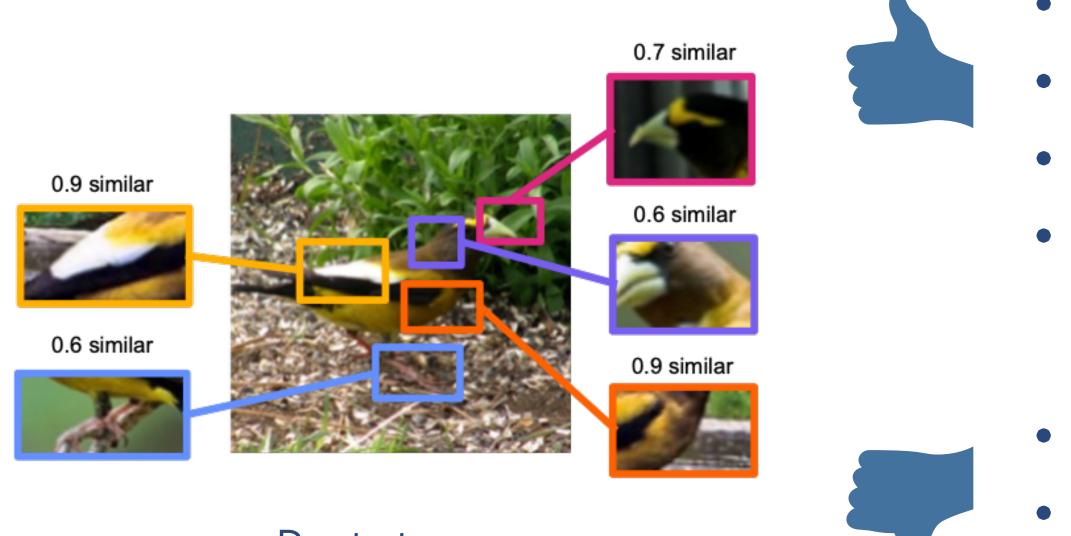
- Resembles human reasoning and explanations
- Helpful for verifying Al's outputs
- Helpful for learning bird ID
- Numbers are helpful

- Current concepts are too generic • Meaning of coefficients is unclear
- Numbers are overwhelming

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] 35



## Prototype-based explanations



Prototypes

### Parts-based form

- Resembles human reasoning and explanations
- Intuitive, visual
- Helpful for verifying Al's outputs
- Helpful for learning bird ID

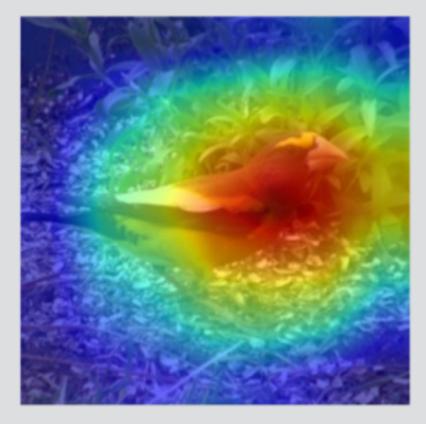
#### Cluttered

- Difficult to see on small screens
- Some prototypes are ambiguous and uninteresting

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>36</sup>



## XAI perceptions depend on AI background











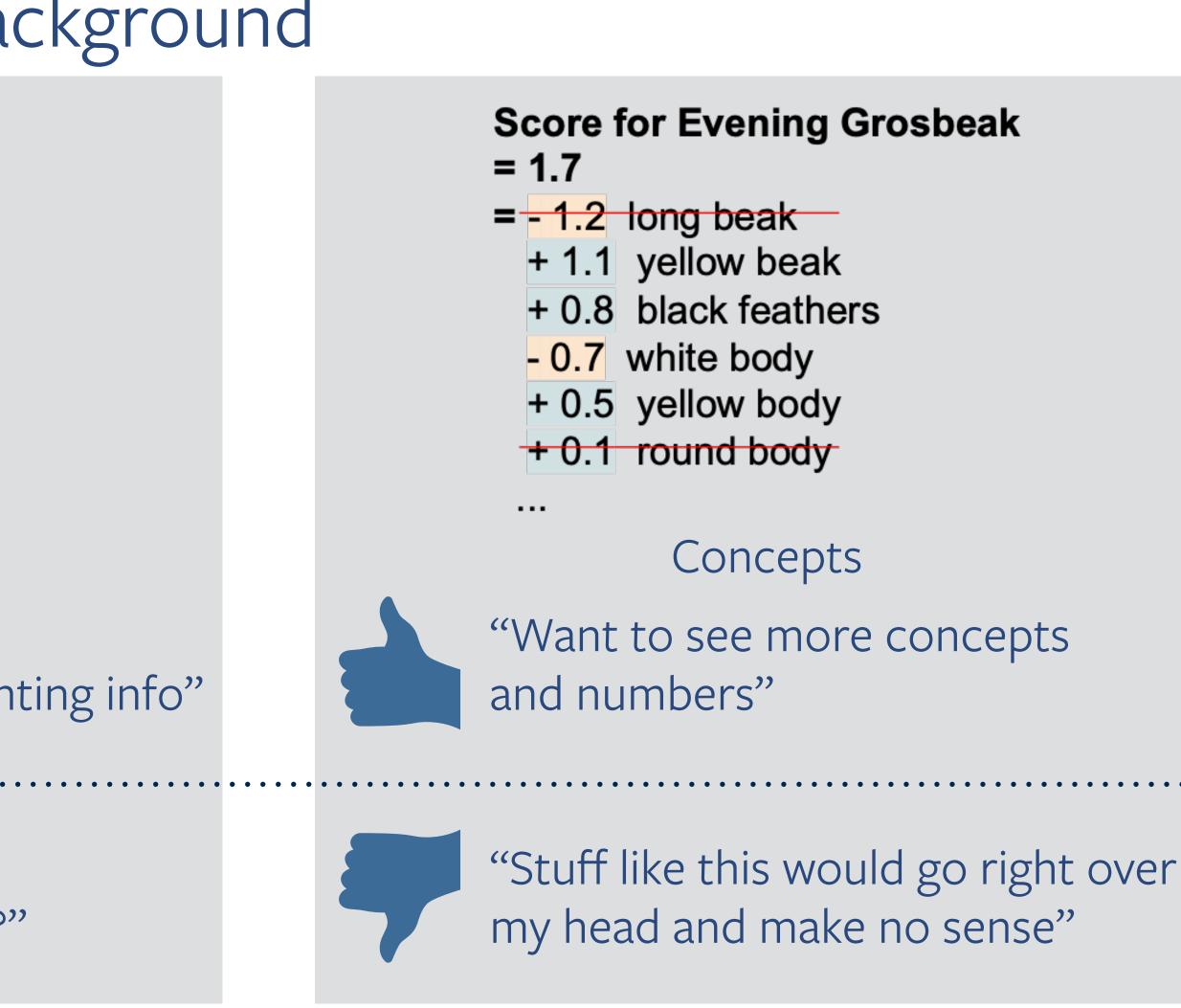
"Intuitive" "Helpful for representing info"



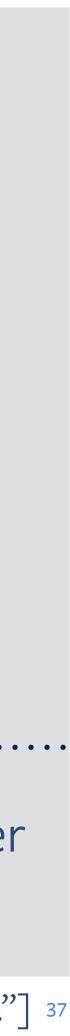




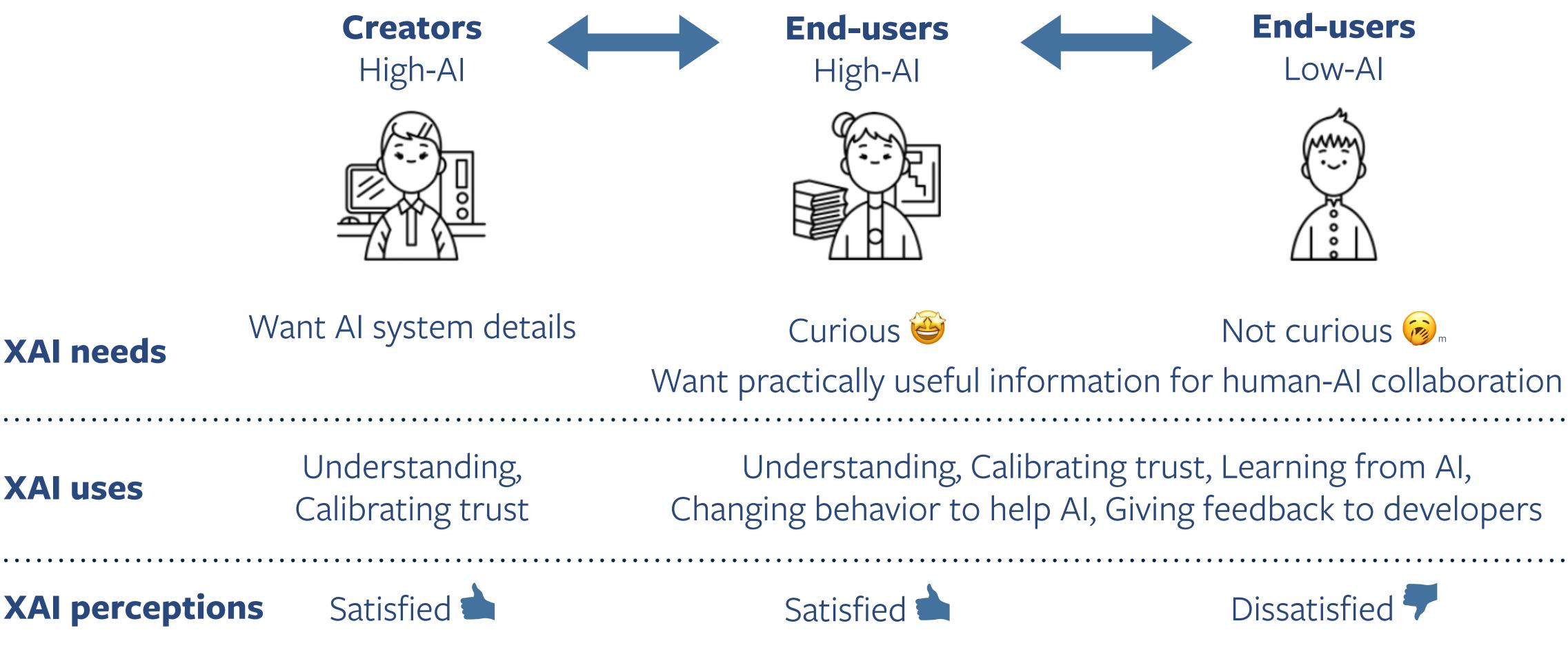
"Not intuitive" "Related to weather?"



[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] 37



## Creator-consumer gap in XAI



**XAI perceptions** 

[Sunnie S. Y. Kim, et al., CHI 2023. "Help Me Help the AI."] <sup>38</sup>



# Challenges for human-centered XAI

Concerns about explanations

- Not faithful
- Difficult to digest
- Engender over-trust in Al

**Takeaway:** Explanations should be designed with end-users, answer "why" (not just "what"), and use multiple forms and modalities.



# Roadmap

- **Automated** evaluation of interpretability  $\rightarrow$  human-centered evaluation Sunnie S. Y. Kim, Nicole Meister, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, ECCV 2022. HIVE: Evaluating the Human Interpretability of Visual Explanations.
- 2. Interpretability by **ML researchers**  $\rightarrow$  **user-oriented** interpretability Sunnie S. Y. Kim, Elizabeth Anne Watkins, Olga Russakovsky, Ruth Fong, Andrés Monroy-Hernández, CHI 2023. "Help Me Help the AI": Understanding How Explainability Can Support Human-AI Interaction.
- Explanations via **heatmaps**  $\rightarrow$  explanations via **concepts** 3. Vikram V. Ramaswamy, Sunnie S. Y. Kim, Ruth Fong, Olga Russakovsky, CVPR 2023. Overlooked Factors in Concept-based Explanations: Dataset Choice, Concept Salience, and Human Capability.
- **Interpretability** in ML + CV  $\rightarrow$  **interdisciplinary** research (interpretability + X) 4. (+ Nicole Meister\* and Dora Zhao\* et al., arXiv 2022. Gender Artifacts in Visual Datasets.) (+ Indu Panigrahi et al., arXiv 2022. Improving Fine-Grain Segmentation via Interpretable Modifications.)
- **Static** visualizations  $\rightarrow$  **interactive** visualizations Ruth Fong, Alexander Mordvintsev, Andrea Vedaldi, Chris Olah, VISxAI 2021. Interactive Similarity Overlays. (+ Devon Ulrich and Ruth Fong, arXiv 2022. Interactive Visual Feature Search.)



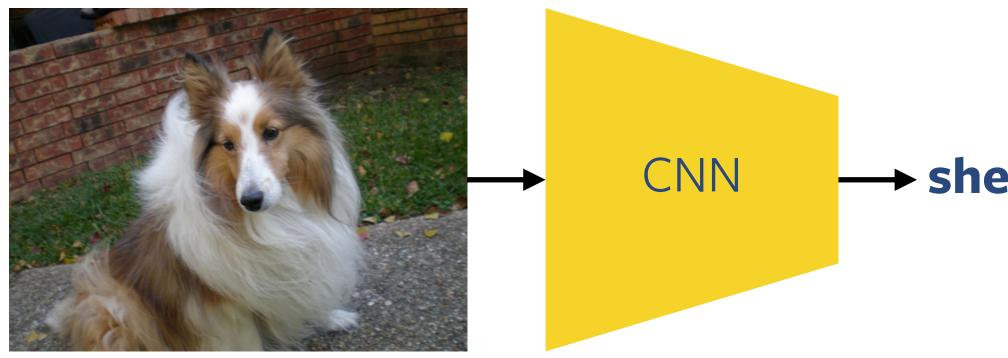
Vikram V. Ramaswamy





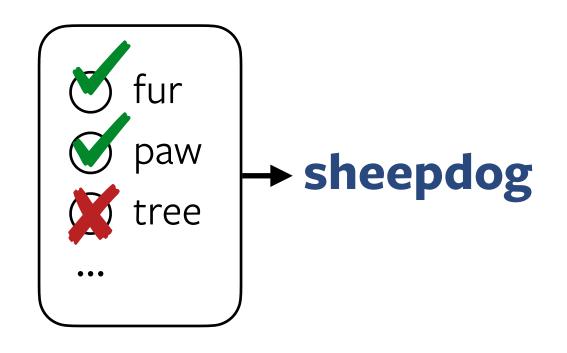
## Concept-based explanations

## Why did the model predict **sheepdog**?



**Pro:** Labelled concepts are interpretable to humans

## Concept-based explanation



**1.2** fur **+ 0.7** paw **- 0.6** tree = score for **sheepdog** 

sheepdog

41

# **Goal:** Understand the effects of choices made by different concept-based explanations.

- Effect of the **probe dataset** (i.e. dataset with labelled concepts)
- Effect of the **concepts used** in an explanation (e.g. how easy-to-learn are concepts?) 2.
- Effect of **explanation complexity** (e.g. number of concepts used) 3.



## Setup

- Model: Scene prediction classifier (Places365-trained ResNet18)
- Probe datasets: ADE20k and Pascal
  - Use all object and object-parts concepts
- Explanations: NetDissect and TCAV

[Vikram V. Ramaswamy, et al., CVPR 2023. Overlooked Factors.] [Zhou et al., CVPR 2017, ADE20k; Everingham et al., IJCV 2010. Pascal; Bau\* & Zhou\* et al., CVPR 2017, NetDissect; Kim et al., ICML 2018, TCAV]

## **NetDissect**

- 123 neurons highly activated (i.e. used in explanations) by both datasets.
- Some correspond to similar concepts but roughly 56% (69 neurons) correspond to very different concepts.

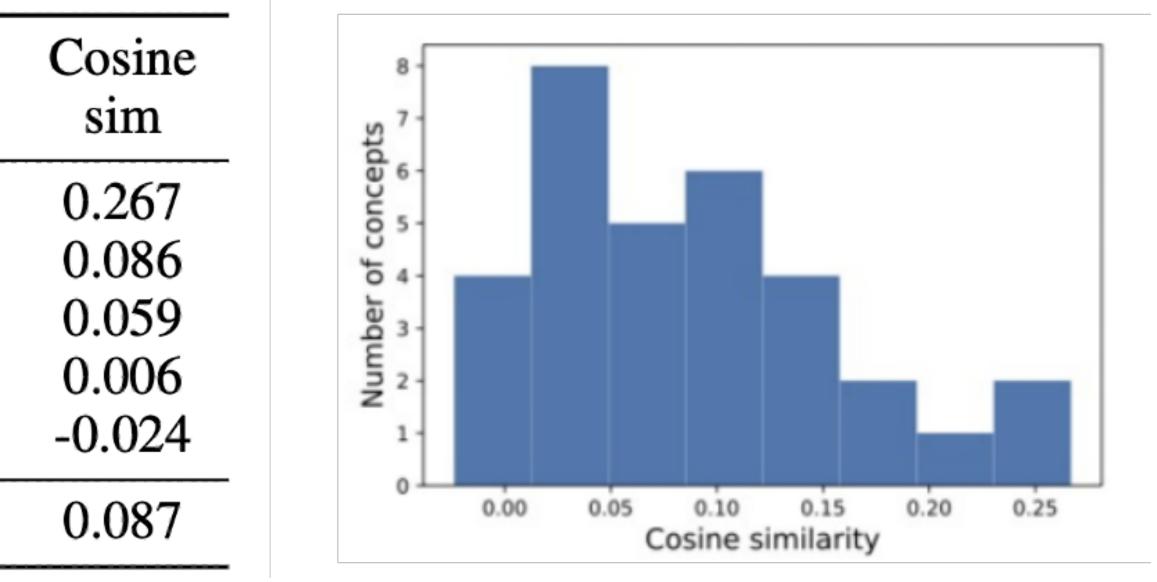
Neuron	ADE20k label	ADE20k score	Pascal label	Pascal score
9	plant	0.082	potted-plant	0.194
181	plant	0.068	potted-plant	0.140
318	computer	0.079	tv	0.251
386	autobus	0.067	bus	0.200
435	runway	0.071	airplane	0.189
185	chair	0.077	horse	0.153
239	pool-table	0.069	horse	0.171
257	tent	0.042	bus	0.279
384	washer	0.043	bicycle	0.201
446	pool-table	0.193	tv	0.086



### **TCAV**

• Low cosine similarity between TCAV vectors computed using Pascal or ADE20k.

Concept	ADE20k AUC	Pascal AUC
ceiling	96.6	93.0
box	83.0	80.1
pole	89.0	79.3
bag	79.4	75.4
rock	92.6	82.8
mean	92.0	88.1





### Takeaway

- Probe dataset has a large impact on what explanations are generated.
- .Suggestion: Use probe datasets that are similar in distribution to training datasets.

[Vikram V. Ramaswamy, et al., CVPR 2023. Overlooked Factors.] <sup>46</sup>



## Learnability of concepts

- What concepts should be labelled and used?.
- .Assumption: All concepts used in explanations are easier to learn than the target classes.
- Why does this matter?
  - Suppose we explain "bedroom" with "bed".
  - We expect the model to first learn the concept "bed" and use it to predict the class "bedroom".
  - But, this isn't possible if "bed" is harder to learn than "bedroom".



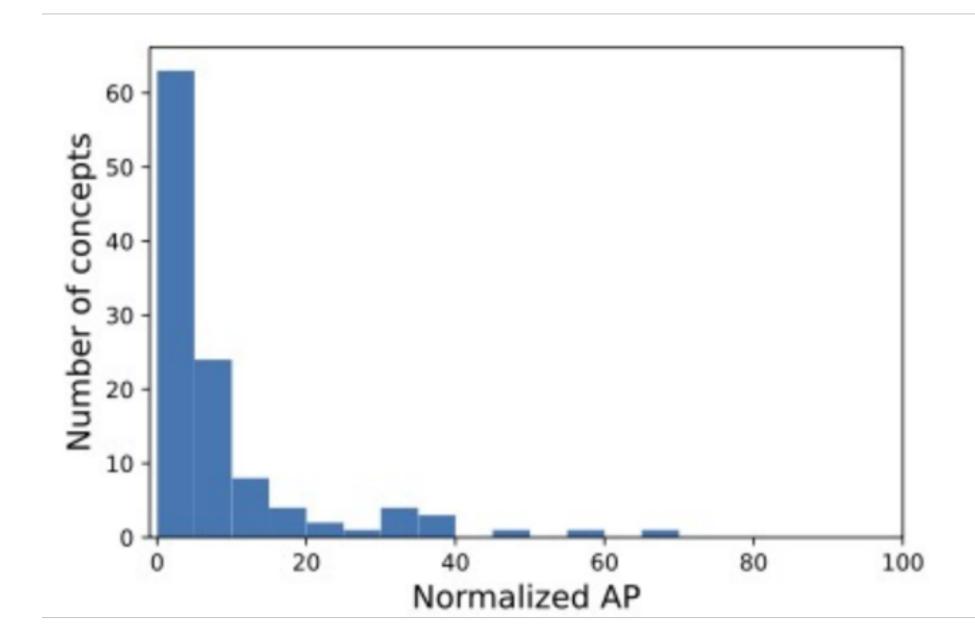
## Setup

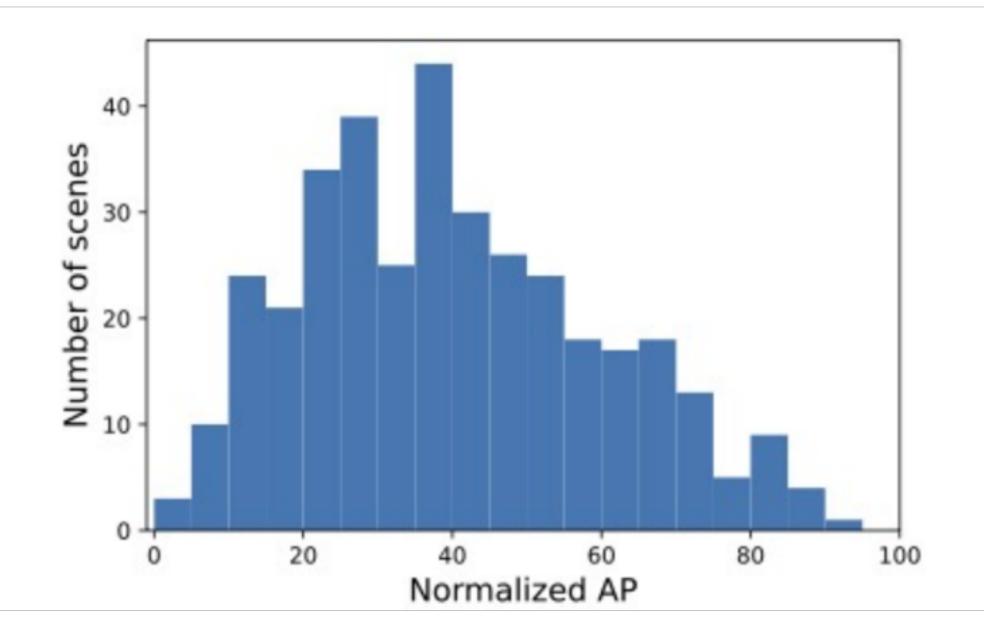
- Task datasets: Places365 (scenes) and CUB (birds).
- Probe datasets: Broden (textures, parts, objects, etc.) and CUB (bird attributes).
- Goal: Study how learnable concepts are to the target classes.
- Method: Measure learnability by training a linear classifier to predict concepts using features from pre-trained models and compare to blackbox model for target classes.
- Metric: Normalized AP (to compare across different base rates)

[Vikram V. Ramaswamy, et al., CVPR 2023. Overlooked Factors.] [Bau\* & Zhou\*, CVPR 2017, Net Dissect; Wang et al., 2011. CUB.]



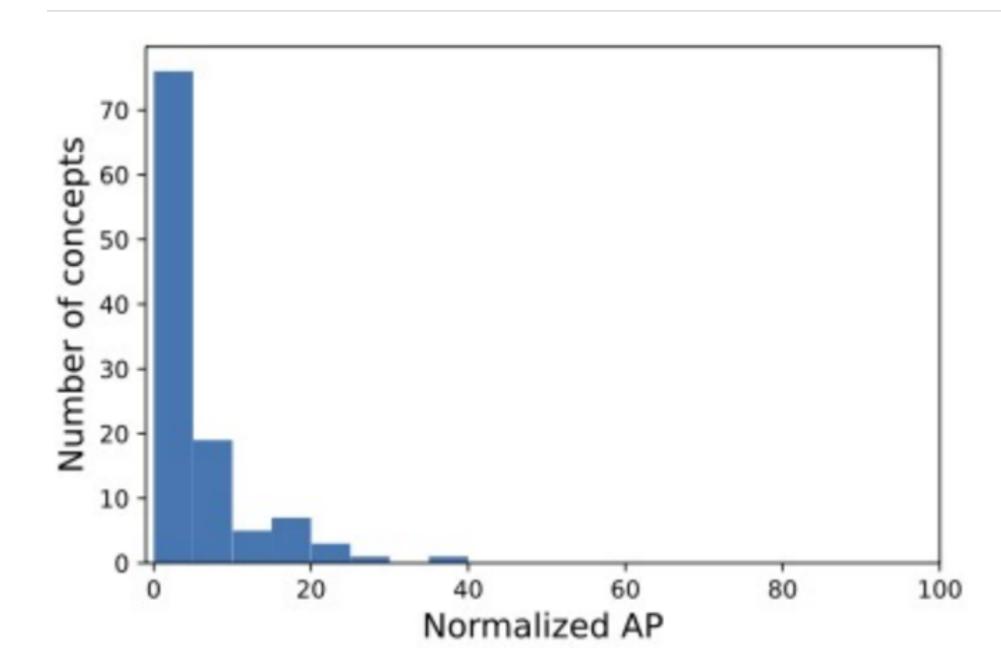
### Learnability of Broden concepts vs. Places365 scenes

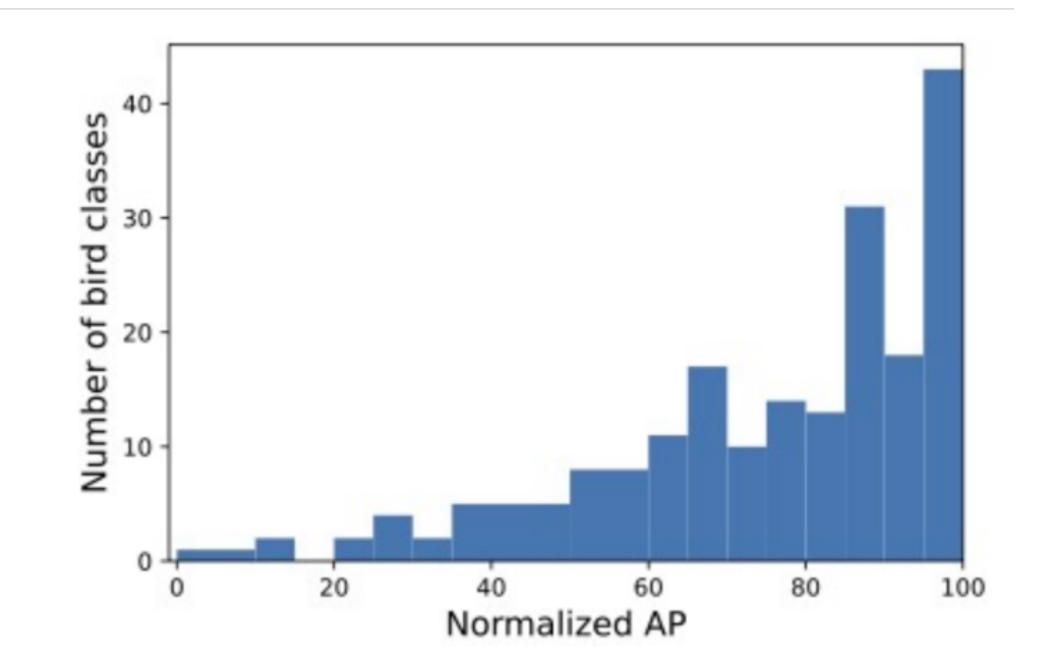






### Learnability of CUB concepts vs. CUB classes







## Learnability of Broden concepts for scene explanations (red italics: scene is easier than concept).

Scene	Concepts				
arena/perform	tennis court	grandstand	ice rink	valley	stage
38.8	74.0	44.4	40.7	19.0	11.9
art-gallery	binder	drawing	painting	frame	sculpture
27.4	42.6	10.8	10.5	2.5	0.7
bathroom	toilet	shower	countertop	bathtub	screen door
43.3	39.9	18.8	12.6	11.1	9.6
kasbah	ruins	desert	arch	dirt track	bottle rack
50.2	64.3	17.3	16.2	8.9	4.2
kitchen	work surface	stove	cabinet	refrigerator	doorframe
33.9	24.8	18.2	10.3	8.8	2.8
lock-chamber	water wheel	dam	boat	embankment	footbridge
36.5	47.4	43.7	16.1	4.8	4.1
pasture	COW	leaf	valley	field	slope
19.2	63.7	21.1	19.0	6.8	4.1



## Takeaway

- Classes are often being explained using hard-to-learn concepts.
- Suggests that explanations are not **causal**.
- Suggestion:
  - **Simple fix:** Use only easy-to-learn concepts..
  - But... not enough: why are these methods learning non-causal explanations?.



## **Research questions**

- Can humans actually parse explanations?
- Current approaches use as many concepts as available: is this useful for humans?
- Goal: Understand if humans...
  - Can recognize concepts and predict scenes that the model would.

Reason about trade-offs between complexity of explanation and the "correctness" of an explanation.



## **Task 1: Simulate model** with explanations



### Q. Which scene class the model predicts?

○ Scene W ○ Scene X ○ S



	Concepts	Explanation for Scene W	Explanation for Scene X		
	✓ wall	= 1.88	= -2.74		
F	✓ floor □windowpane	$= + 1.88 \times 1$ (bed)	$= -3.20 \times 1$ (bed)		
	table	- 0.95 x 0 (chair)	+ 1.47 x 0 (chair)		
	plant	- 0.60 x 0 (sofa)	- 1.38 x 0 (sofa)		
	Chair	- 0.28 x 0 (armchair)	- 0.80 x 1 (cushion)		
-	<pre>carpet</pre>	- 0.04 x 0 (table)	- 0.39 x 0 (coffee table)		
	🗸 lamp	- 0.03 x 0 (sconce)	- 0.14 x 0 (armchair)		
-	🗸 bed	+ 0.00	- 0.14 x 1 (lamp)		
-	sofa		+ 1.40		
	<pre>cushion</pre>				
2	vase	Explanation for Scene Y	Explanation for Scene Z		
23	armchair	= 1.03	= -0.54		
	coffee table	$= + 1.36 \times 1 \text{ (bed)}$	$= + 2.00 \times 0$ (sofa)		
	fireplace	- 1.02 x 0 (windowpane)	- 1.73 x 1 (bed)		
		- 0.92 x 1 (wall)	- 0.88 x 0 (table)		
		- 0.31 x 0 (plant)			
		- 0.51 X 0 (pranc)	+ 0.68 x 0 (coffee table)		
		- 0.24 x 1 (carpet)	+ 0.68 x 0 (coffee table) - 0.52 x 0 (chair)		
s de	o you think				
s de	o you think	- 0.24 x 1 (carpet)	- 0.52 x 0 (chair)		
	-	- 0.24 x 1 (carpet) + 0.19 x 0 (sconce)	- 0.52 x 0 (chair) - 0.38 x 1 (wall)		
	<b>b you think</b>	- 0.24 x 1 (carpet) + 0.19 x 0 (sconce) - 0.18 x 1 (floor)	- 0.52 x 0 (chair) - 0.38 x 1 (wall) + 0.30 x 0 (armchair)		

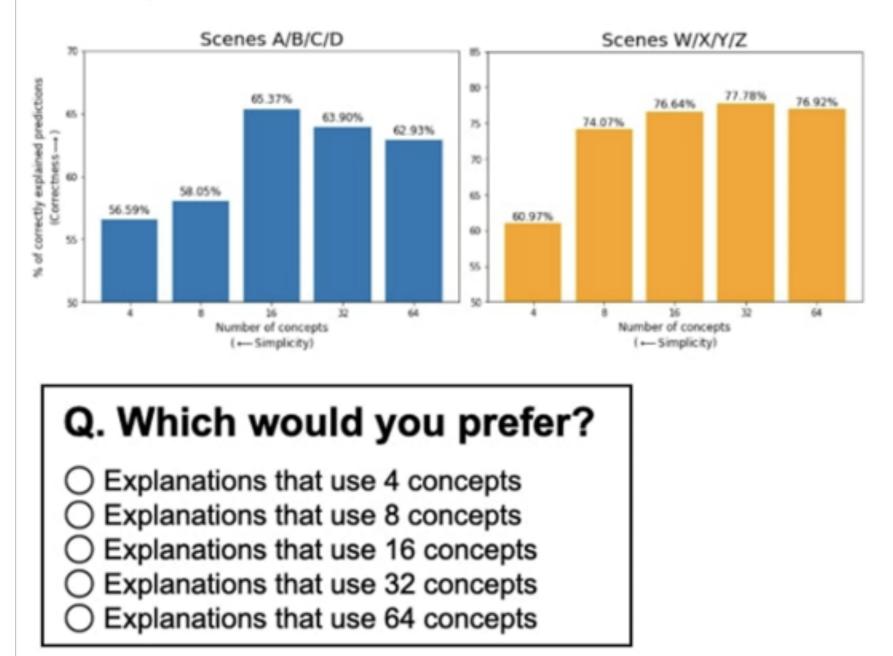


**Task 2: Pick complexity of explanation** 



Simplicity refers to the number of concepts used in a given set of explanations. Correctness refers to the percentage of times the explanations correctly explain the model prediction.

You can choose the level of simplicity and correctness of conceptbased explanations.

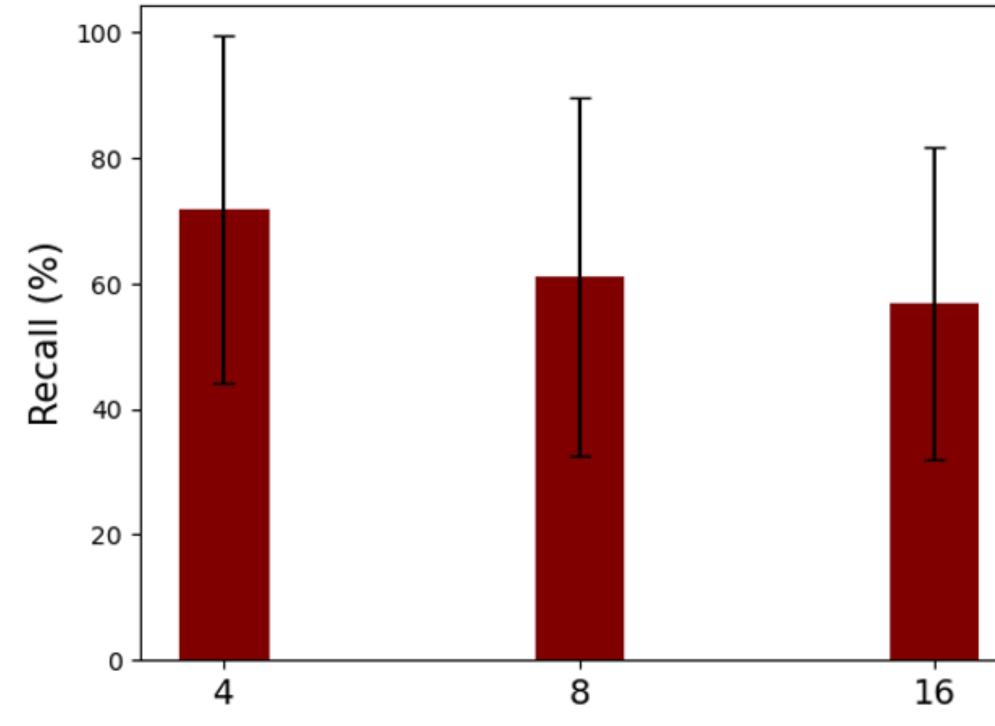




## **Task 1: Simulate model with explanations**

• When presented with more concepts, participants spend more time on the task but are worse at recognizing concepts.





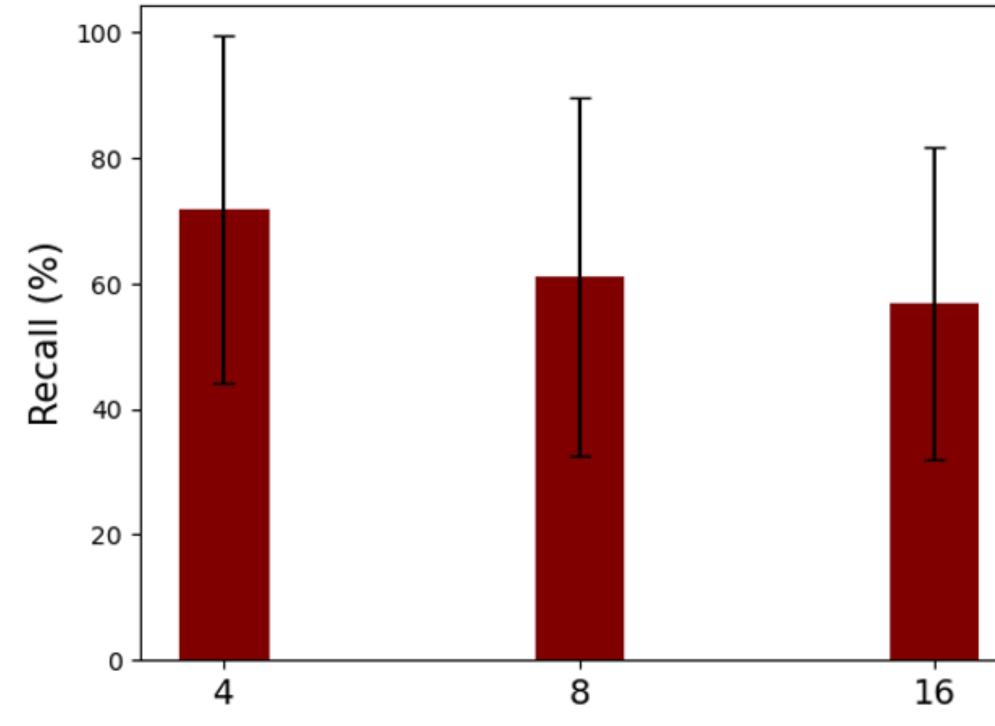




## **Results:**

- Task 1: When presented with more concepts, participants spend more time on the task but are worse at recognizing concepts.
- Task 2: Majority of participants prefer explanations with ≤ 32 concepts.









### Takeaway

- Should consider the complexity of explanations and what users need from the explanation.
- Suggestion: Limit number of concepts within explanation.



# Challenges for concept-based methods

- Explanations are highly dependent on choice of probe datasets.
- Humans have limited capacity for digesting complex explanations.

**Takeaway:** Be realistic about the limitations of concept-based methods (e.g. probe dataset, concept learnability, and explanation complexity) and work towards addressing the limitations.

• Explanations often are composed of concepts that are harder-to-learn than target classes being explained.

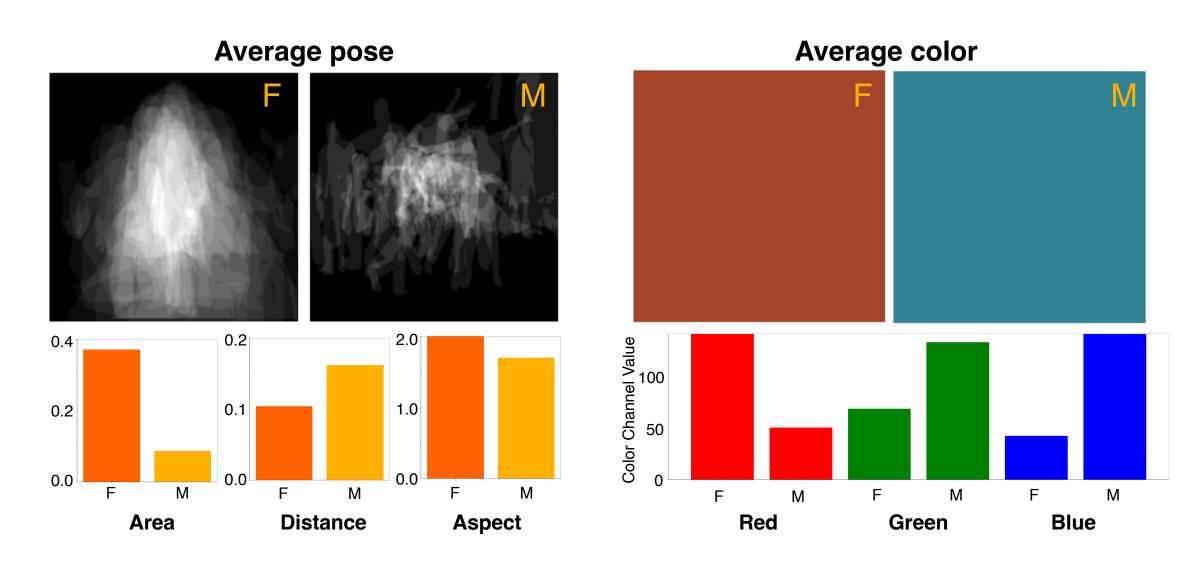


# Roadmap

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- 2. Explanations via labelled attributes → explanations via labelled attributes and unlabelled features Vikram V. Ramaswamy, Sunnie S. Y. Kim, Nicole Meister, Ruth Fong, Olga Russakovsky, arXiv 2022. ELUDE: Generating Interpretable Explanations via a Decomposition into Labelled and Unlabelled Features. (+ Vikram V. Ramaswamy et al., arXiv 2022. Overlooked Factors in Concept-based Explanations.)
- 3. Interpretability of **supervised** models  $\rightarrow$  interpretability of **self-supervised** models Iro Laina, Ruth Fong, Andrea Vedaldi, NeurIPS 2020. Quantifying Learnability and Describability of Visual Concepts Emerging in Representation Learning.
- **Interpretability** in ML + CV  $\rightarrow$  **interdisciplinary** research (interpretability + X) 4. (+ Nicole Meister\* and Dora Zhao\* et al., arXiv 2022. Gender Artifacts in Visual Datasets.) (+ Indu Panigrahi et al., arXiv 2022. Improving Fine-Grain Segmentation via Interpretable Modifications.)
- **Static** visualizations → **interactive** visualizations Ruth Fong, Alexander Mordvintsev, Andrea Vedaldi, Chris Olah, VISxAI 2021. Interactive Similarity Overlays. (+ Devon Ulrich and Ruth Fong, in prep. Interactive Visual Feature Search.)



# ML fairness cross-talk: Gender artifacts in CV



Differences in top 20 female vs. male\* predicted images.

Nicole Meister\*, Dora Zhao\*, Angelina Wang, Vikram V. Ramaswamy, Ruth Fong, Olga Russakovsky, arXiv 2022. (\* binary perceived gender expression; we do not condone gender prediction.) Gender Artifacts in Visual Datasets.

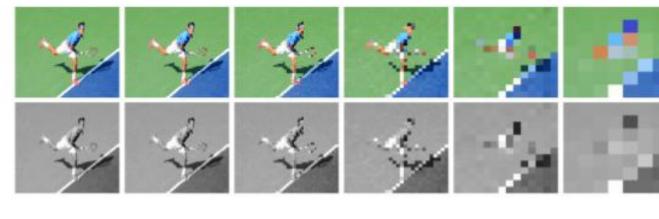


### Nicole Meister



### Dora Zhao





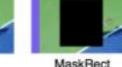
















MaskSegr



Horse



Oven





Skateboard

Skateboard

## Gender artifacts are **everywhere** in visual datasets.









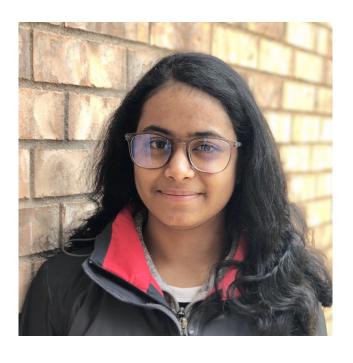


# Extending Interpretability to Geosciences

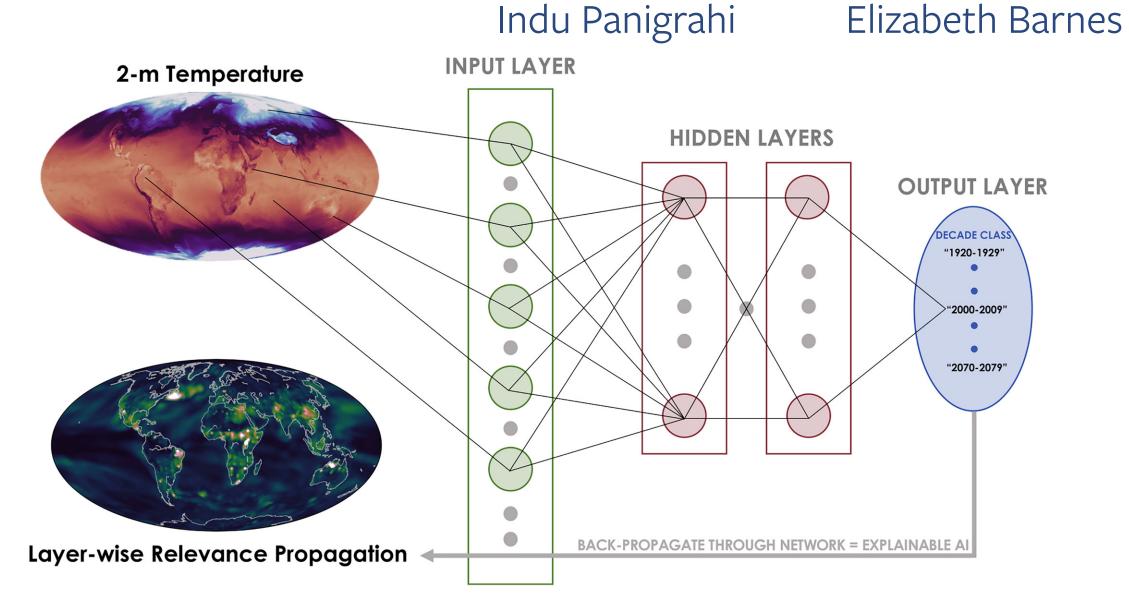


## Understand and improve a coral reef fossil segmentation model (our work)

Indu Panigrahi et al., arXiv 2022. Improving Fine-Grain Segmentation via Interpretable Modifications. Zachary M. Labe and Elizabeth A. Barnes, JAMES 2021. Detecting Climate Signals Using Explainable AI.







Identify important regions in the world that reliably predict seasonal climate (Elizabeth Barnes' group at Colorado State)





## Interactive Similarity Overlays



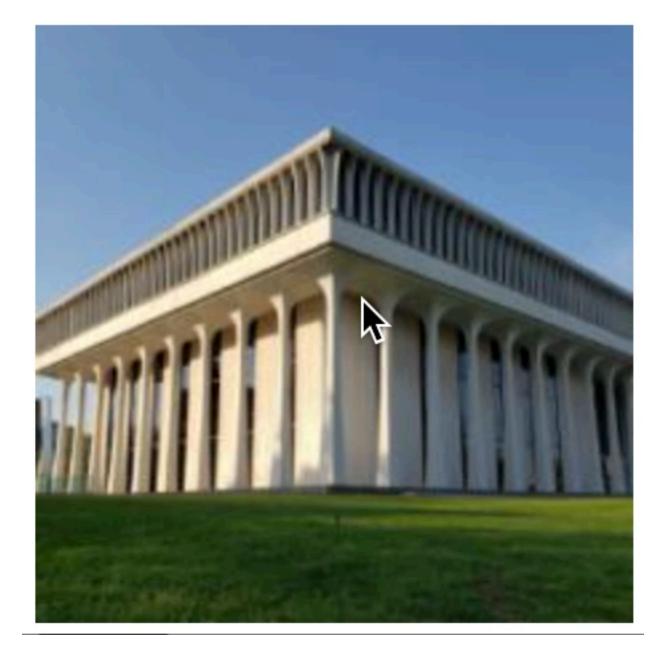


## bit.ly/interactive\_overlay

Ruth Fong, Alexander Mordvintsev, Andrea Vedaldi, Chris Olah, VISxAI 2021. Interactive Similarity Overlays.



# Preview: Interactive Visual Feature Search









## bit.ly/interactive\_search

Devon Ulrich



Devon Ulrich and Ruth Fong, arXiv 2022. Interactive Visual Feature Search. 64 Acknowledgement: David Bau



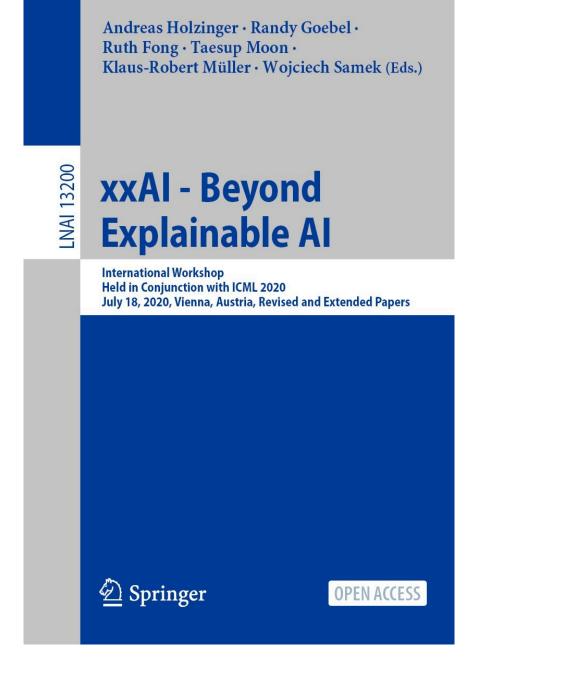
# Takeaways from challenges in interpretability

- Human studies: As a research community, invest in and reward human evaluation studies (like dataset development).
- Human-centered XAI: Explanations should be designed with end-users, answer "why" (not just "what"), and use multiple forms and modalities.
- **Concept-based explanations:** Be realistic about the limitations of concept-based methods (e.g. probe dataset, concept learnability, and explanation complexity) and work towards addressing the limitations.



# Directions for the next decade of interpretability

- Develop interpretability methods for **diverse domains** 
  - Beyond CNN classifiers: self-supervised learning, generative models, etc.
- 2. Center **humans** throughout the development process
  - In design, co-develop methods with real-world stakeholders.
  - In evaluation, measure human interpretability and utility of methods.
  - In deployment, package interpretability tools for the wider community.

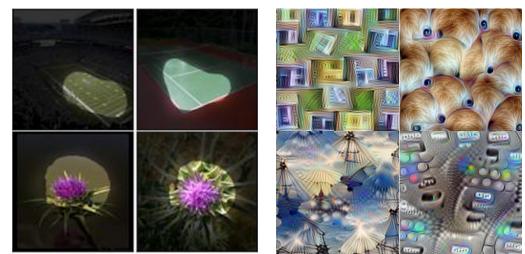


## ICML 2020 workshop on XXAI





# An incomplete retrospective: the first decade of interpretability



Feature visualization (2013-2018) Activation Max., Feature Inversion, Net Dissect, Feature Vis.



## Attribution heatmaps (2013-2019) Gradient, Grad-CAM, Occlusion, Perturbations, RISE

[Selvaraju et al., ICCV 2017; Fong\* & Patrick\* et al., ICCV 2019; 67 Bau\* & Zhou\* et al., CVPR 2017; Olah et al., Distill 2017; Koh\*, Nguyen\*, Tang\* et al., ICML 2020]

Primarily focused on understanding and approximating **CNNs** 

<image/>	CNN CNN CNN CNN CNN CNN CNN Classifier bird species beak length	2022
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## Interpretable-by-design (2020-now) Concept Bottleneck, ProtoPNet, ProtoTree





# Into the future: the next decade of interpretability



2032





Devon Ulrich

Nicole Meister Sunnie S. Y. Kim



Indu Panigrahi

Andrea Vedaldi





Elizabeth Anne Andrés Monroy-Watkins Hernández

Dora Zhao













Angelina Wang Ryan A. Manzuk Vikram V. Ramaswamy

Chris Olah







Alex Mordvintsev Adam C. Maloof Olga Russakovsky



We're hiring postdocs! bit.ly/vai-lg-postdoc



Talk acknowledgements: Brian Zhang, Sunnie S. Y. Kim, Vikram V. Ramaswamy, Olga Russakovsky









Thank You

